

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Applicants: Kazuko SHINOZAKI, et al.

International
Appl. No.: PCT/JP04/002563

Filed: March 2, 2004

For: **STRESS-INDUCIBLE PROMOTER
AND METHOD FOR USING THE
SAME**

Mail Stop: PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

September 22, 2005

INFORMATION DISCLOSURE STATEMENT

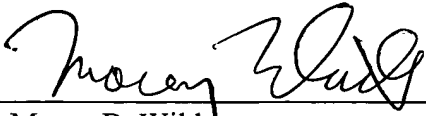
Sir:

In accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicants hereby make of record the documents listed on the accompanying Form PTO-1449 (2 pages) for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references, which were cited in the International Search Report (an English translation of which is enclosed) in the above-identified international application of which this application is a national phase filing, are enclosed herewith.

It is respectfully requested that the references cited on the accompanying PTO -1449 be considered and made of record. If any fees are due at this time, the Assistant Commissioner is authorization to charge such fee to Deposit Account No. 50-0552.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Kazuko SHINOZAKI, et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	382.1048

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

[illegible]

Examiner
Signature

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 2 of 2

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Kazuko SHINOZAKI, et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	382.1048

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		AGUAN, et al., Isolation of Genes for Low-Temperature-Induced Proteins in Rice by a Simple Subtractive Method, Plant Cell Physiol, 1998, Vol. 32(8), pp. 1285-1289	
		JOSHEE, et al., Isolation and Characterization of a Water Stress-Specific Genomic Gene, pws1 18, from Rice, Plant Cell Physiol, 1998, Vol. 39(1), pp. 64-72	
		LIU, et al., Two Transcription Factors, DREB1 and DREB2, With an EREBP/AP2 DNA Binding Domain Separate Two Cellular Signal Transduction Pathways in Drought- and Low-Temperature-Responsive Gene Expression, Respectively, in Arabidopsis, The Plant Cell, August 1998, Vol. 10(8), pp. 1391-1406	
		SHINOZAKI, et al., Gene Expression and Signal Transduction in Water-Stress Response, Plant Physiol, October 1997, Vol. 115(2), pp. 327-334	

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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